



# Uttar Pradesh

## Annual Plan 2013-14

**Dated 18<sup>th</sup> July, 2013**



## Own Tax Revenue Receipts (Cr. Rs.)

	2009-10	2010-11	2011-12	2012-13	2013-14
	Actual	Actual	Actual	RE	Estimates
<b>1. Trade Tax/VAT</b>	<b>20825</b> <b>(19.1%)</b>	<b>24837</b> <b>(19.3%)</b>	<b>33107</b> <b>(33.3%)</b>	<b>36616</b> <b>(10.6%)</b>	<b>43936</b> <b>(20.0%)</b>
<b>2. Stamp &amp; Registration</b>	<b>4562</b> <b>(10.2%)</b>	<b>5975</b> <b>(31.0%)</b>	<b>7694</b> <b>(28.8%)</b>	<b>9021</b> <b>(17.3%)</b>	<b>10825</b> <b>(20.0%)</b>
<b>3. State Excise</b>	<b>5666</b> <b>(20.0%)</b>	<b>6723</b> <b>(18.7%)</b>	<b>8139</b> <b>(21.1%)</b>	<b>10070</b> <b>(23.8%)</b>	<b>12482</b> <b>(24.0%)</b>
<b>4. Motor Vehicle and goods &amp; Passenger tax</b>	<b>1675</b> <b>(20.4%)</b>	<b>2059</b> <b>(22.9%)</b>	<b>2381</b> <b>(15.6%)</b>	<b>3094</b> <b>(30.0%)</b>	<b>3713</b> <b>(20.0%)</b>
<b>5. Others</b>	<b>1150</b> <b>(23.9%)</b>	<b>1761</b> <b>(53.1%)</b>	<b>1292</b> <b>(-26.6%)</b>	<b>1671</b> <b>(29.3%)</b>	<b>1905</b> <b>(14.0%)</b>
<b>Total</b>	<b>33878</b> <b>(18.2%)</b>	<b>41355</b> <b>(22.1%)</b>	<b>52613</b> <b>(27.2%)</b>	<b>60472</b> <b>(15.0%)</b>	<b>72861</b> <b>(20.5%)</b>

Figures in bracket represent the growth over previous year

# Performance in terms of Select Fiscal Indicators

(in %age)

Indicators	2009-10	2010-11	2011-12	2012-13 (RE)	2013-14 (BE)
OTR/GSDP	7.5	7.2	8.2	8.3	8.9
Debt (Rs in crore)	1,74,972	1,96,640	2,09,227	2,19,304	2,39,878
Debt Outstanding/ GSDP	38.6	34.3	32.8	30.1	29.5
Interest/TRR	12.4	12.8	11.8	10.5	9.6
Debt Service/TRR	20.4	19.4	18.2	16.2	14.4
Salary+Interest+ Pension/TRR	58.0	59.6	58.5	57.5	56.0
Salary+Interest+ Pension/RE	62.6	61.5	61.8	59.6	59.3

## Plan Performance 2012-13

Item	Cr Rs
Outlay	57800
Anticipated Expenditure (against outlay)	49787 (86%)
Central share	
Target	29935
Releases by Gol (against target)	17751 (59%)
Expenditure (against release)	17890 (101%)
Total available funds	71955
Total expenditure (against total funds)	68052 (95%)

## 2012-13 : Growth Rate (in %)

	Sector	All-India	Uttar Pradesh
1	Agriculture	1.6	2.6
2	Industry	3.3	2.8
3	Services	6.6	7.6
	<b>Overall</b>	<b>5.0</b>	<b>5.4</b>

## Development Agenda for 2013-14

- Target for resource mobilisation : Rs 72861 cr
- **Time bound implementation of National Flagship Programmes**
- Effective Implementation of :
  - Agriculture Policy 2013: for increasing production & productivity
  - **Poultry Development Policy**
  - Food Processing Industry Policy 2012
  - **Sugar Industry, Co-generation and Distillery Promotion Policy 2013**
  - Infrastructure and Industrial Investment Policy 2012 : to attract private sector investment
  - **I.T. policy 2012 : to promote Information Technology/ Information Technology Enabled Services industries**
  - Renewable Energy Policy 2013
- **To connect all district headquarters by 4 lane roads**
- To connect 500+ habitations with all weather road in two years
- **To set up milk processing unit with 5 lakh litres capacity per day**
- E-payment system to be introduced in all treasuries

## Financing of Annual Plan

Cr Rs

Item	2012-13 Approved by PC	2013-14 Proposed
State Own Resources	15836.25 (27.4%)	27077.11 (39.2%)
Public Sector Enterprises	8000.00 (13.8%)	9000.00 (13.0%)
Net Borrowings	21709.25 (37.6%)	20480.94 (29.6%)
<b>Central Assistance out of which :-</b>	<b>12254.50 (21.2%)</b>	<b>12555.00 (18.2%)</b>
(a) NCA	2225.34	2389.95
(b) EAP	28.97	134.33
(c) ACA	10000.19	10030.72
<b>Total</b>	<b>57800.00</b>	<b>69113.05</b>

# Additional Central Assistance

Cr Rs

	Item	2012-13		2013-14 Proposed
		Allocated	Received	
1	Accelerated Irr.Benefit Prog.(AIBP)	1700.00	276.41	2300.00
2	JNNURM	2400.00	63.15	1950.00
3	Backward Region Grant Fund (BRGF)	689.05	207.68	886.90
4	National Social Assistance Prog (NSAP)	1613.08	1110.27	1579.51
5	Rashtriya Krishi Vikas Yojana (RKVY)	760.00	294.51	1017.08
6	Central Road Fund (CRF)	184.76	184.76	350.00
7	One time ACA	195.30	130.80	1000.00
8	Bundelkhand Package	1405.91	207.04	625.00
9	Special package for Kumbh	800.00	800.00	...
10	Border Area Dev. Prog. (BADP)	50.01	49.82	50.01
11	National e-Governance Action Plan	12.29	12.29	45.69
12	Tribal Sub Plan (TSP)	8.94	4.55	8.94
13	Article 275(1)	16.59	0.00	16.59
14	Integrated Action Plan (IAP)	90.00	60.00	90.00
15	High Court Building	74.26	74.26	111.00
	<b>Total</b>	<b>10000.19</b>	<b>3475.54</b>	<b>10030.72</b>



## Proposed Outlay : 2013-14 ( Cr Rs)

Item	2012-13		2013-14	
	Outlay	%age share	Proposed Outlay	%age share
1. Agriculture & Allied	5005	8.7%	5582	8.1%
2. Rural Development	1527	2.6%	1852	2.7%
3. Special Area Programmes	1746	3.0%	3395	4.9%
<b>4. Economic Infrastructure</b>	<b>11833</b>	<b>20.5%</b>	<b>14391</b>	<b>20.8%</b>
4.1 Power	3952	6.8%	4053	5.9%
4.2 Irrigation	5059	8.8%	6402	9.3%
4.3 Transport	2822	4.9%	3936	5.7%
5. Industry	5078	8.8%	5742	8.3%
<b>6. Social Infrastructure</b>	<b>19568</b>	<b>33.9%</b>	<b>22128</b>	<b>32.0%</b>
6.1 Education	7424	12.8%	8800	12.7%
6.2 Medical & Health	2339	4.0%	3351	4.8%
6.3 Water Supply & Sanitation	1433	2.5%	1556	2.3%
6.4 Housing & Urban Dev.	8372	14.5%	8421	12.2%
7. Social Safety Net	3218	5.6%	3664	5.3%
8. Others	9826	17.0%	12359	17.9%
<b>Total</b>	<b>57800</b>	<b>100.0%</b>	<b>69113</b>	<b>100.0%</b>



# Sectoral

## **Agriculture Scenario (2012-13)**

- **Wheat Production - 316 LMT**  
**Productivity 32.51 qtl/ha**
- **Rice Production - 143 LMT**  
**Productivity 24.59 qtl/ha**
- **Sugarcane Production - 1494 LMT**  
**Productivity 616 qtl/ha**
- **Total Food Grain Production - 523.05 LMT**
- **Share of Food Grain in the National Basket - 20%**
- **Received Krishi Karman Award for record production of coarse grain (Millets)**

## **Input Management System**

- **Calendar of activities for timely & quality supply of inputs**
- **54 lakh quintals certified seed distributed**
- **Average SRR of 35% achieved.  
Wheat – 40%, Paddy -31%, Sugarcane – 11%**
- **5 Year Seed Rolling Plan**
- **Fertilizer Distributed  
Urea-53.72 Lakh Tonnes Phosphatic-23.78 Lakh Tonnes**
- **Crop Loan – Rs. 38000 crores disbursed**
- **170 lakh Kisan Credit Cards issued**

## **Other initiatives & achievements**

- Hybrid Rice & Maize area - 15 lakh ha
- Line sowing of wheat - 15 lakh ha
- Direct Seeding of Rice - 1 lakh ha

- New Agriculture & Sugarcane Policy

- Sugarcane information system in operation

- 83% of cane dues cleared,  
Disbursement – Rs. 18570 crores

- Cogeneration Capacity –  
National – 3090 MW, State - 1254 MW

## **Challenges / Issues**

- Production & Market risks not covered adequately
- Crop insurance schemes needs restructuring
- Fixation of MSP be based on cost of cultivation
- Fixation of MSP be based on cost of cultivation

- Release of Rs. 922 crores under Vaidyanathan Package

# Power Sector

## Generation/Availability Gap

- Average power availability and demand gap during 2013-14 is estimated to be 2400 MW(20.2%). ( 8Hrs for Rural and 15Hrs. for Distt. HQ )
- Generation capacity addition expected to be 1072 MW in 2013-14

## Major Works proposed in 2013-14

- 62 new Transmission substations and augmentation of 101 substations amounting Rs.1126.80 cr
- 300 new distribution substations and augmentation of 300 Substations amounting Rs.1580 cr
- Transmission works of Approx.Rs.10,000 Cr under PPP on BOOT mode

## R-APDRP

- Under the R- APDRP Part- A, plan for Online Metering & Billing in all 168 towns having population over 30,000

## Financial restructuring Plan

- Outstanding loans from Bank as on 31.03.12 of Rs. 16,126 cr to be restructured and power purchase liabilities of Rs. 15,554 cr on 30.03.2012 are to be funded
- Banks to provide fresh loan funds for operational losses of Rs.9028 cr for financial year 2012-13 to 2014-15

## Power - Problems/Issues

### Rajiv Gandhi Gramin Vidyutikaran Yojna Phase-II

- Projects of only 22 Distt. sanctioned. Pending DPRs of 47 Districts for Majras of 300 population and above, needs to be sanctioned. Supplementary DPRs can later be sanctioned as per changed norms of 12<sup>th</sup> Plan, for population of 100-300

### R-APDRP Part B

- DPRs were submitted in year 2010-11. Rates are higher due to time gap between submission and award. The funding of additional cost on this account needs to be decided.

### Financial Restructuring Plan

Interest Rate	Tamil Nadu	Uttar Pradesh
Fresh Loan	11%	12.25%
Restructured Loan	12.25%	13.6%

- Allahabad Bank has entity exposure problem in sanctioning loans under FRP, it involves total funding of Rs.1492.27 cr as loan and Rs.1617.42 cr in Bonds
- The funding of operational losses by banks is for three years only w.e.f. 2012-13. The additional funding for 2015-16 and 2016-17 should be allowed as Distribution companies are expected to turnaround by 2016-17

# Infrastructure & Industrial Development

## Initiatives

- **New U.P. Infrastructure and Industrial Investment Policy - 2012**
- **Nivesh Mitra implemented in all districts of UP**
- **MoU signed with DIPP, GoI for E-Biz Project**
- **Strengthening of Udyog Bandhu**
- **U.P. Industrial Services Guarantee Act – 2013 under preparation**

## Public Private Partnership

- **Cumulative target of Rs.2,32,118 cr**
- **Developers selected for projects**
  - **Rs.44,241 cr in Road Sector**
  - **Rs 87,574 cr in Energy Sector**
  - **Rs 754 cr in Urban Development Sector**



## Irrigation - Initiatives

- 6 lac ha irrigation increased in 2012-13, 691 new State Tubewells installed
- World Bank Project of Rs. 2835 cr conceptualized, under implementation
- Incomplete projects since long are in advanced stage of construction
- For e.g. incomplete Bansagar Project (since 1999), ready for 50,000 ha irrigation
- Turn key/EPC Contracting, New farmer friendly Land Policy
- Modern Technology for project & Financial Management, Comprehensive Flood Plans, CAD pari-passu with projects

## Constraints

- AIBP and FMP guidelines for 12th Plan not finalized, central share released in March
- FMP sanction time not less than 2 years

## Transport Sector - Initiatives

- Road map of linking all District Head-quarters in UP with 4 lane Roads
- Ensuring connectivity of balance 6221 habitations with 500+ population, not covered under PMGSY
- Building 4 Lane/2 Lane with paved shoulders Highways & Bypasses through PPP mode
- Widening all State Highways up to minimum 2 lane
- Ensuring seamless transport through augmentation of ROB Network
- Construction of 572 Km long Indo-Nepal Border Road started

## Issues

- Criteria for fund allocation to States under CRF to be revisited- pendency of Rs. 301 cr
- Additional sanction of Rs. 731 cr needed for Indo-Nepal Border Road Project
- 500+ habitations left out of PMGSY Core Network to be taken up for new connectivity under PMGSY-1

# Status of Primary Education

- Increase in *student enrolment* and drop in *out of school children*
- UNIFIED District Information System for Education (DISE) data 2012-13 reveals:
  - improvement in Teacher Pupil ratio at primary and upper primary school level
  - decline in drop out rate
  - improvement in retention rate & student - classroom ratio
- Third Round results of National Achievement Survey by NCERT show significant improvement in learning level of students of Class V and VIII

## Steps to improve Quality of Education

- **Effective steps to fill large vacancies of teachers in primary schools**
- **Transparent on-line recruitment and deployment system for teachers developed**
- **Teacher education system being revamped**
- **MoU signed with Teacher Education through School-based Support in India (TESS-India) for professional development of DIET faculty, promoting use of ICT in teacher education and teaching-learning process**
- **Continuous Comprehensive Evaluation (CCE) being implemented in 5 districts**
- **SCERT to conduct annual Learning Achievement Assessment of children in 10 districts from 2013-14**

## MGNREGA

- Expenditure against total available fund increased from 74.73% (2010-11) to 89.36% (2012-13)
- Increase in participation of women in workforce
- Labour budget fixed at Rs. 3274.71 cr against demand of Rs. 6960.07 cr
- Per capita Labour Budget for U.P. at Rs. 164.08 is the lowest among major States

## Rural Housing

- 25 lacs out of 45 lacs eligible in 2002 covered under IAY
- State has launched LOHIA AWAS YOJANA from its own resources to cover households excluded in permanent wait list prepared for Indira Awas Yojana
- Unit cost - Rs.1.20 lakh
- Additional subsidy of Rs. 15,000 for Solar light
- During 2012-13, Rs. 783 cr. released for construction of 68000 houses and Rs. 520 cr. budgeted for construction of 45000 houses in 2013-14

# Vocational Education - Skill Development Activities

- **Integrated Skill Development Mission Approved by GOUP**
  - 24 lacs youth to be given short term training in XII Plan
  - One lac in FY 13-14 ( SDI , NRLM , MSDP etc.)
  - All schemes to be converged under the Mission from 2014-15
  - GOI needs to revise the cost norms of SDI
- **Expanding and Strengthening Institutional Training Capacity**
  - Per lac population seat capacity in ITI/ITC to increase from 92 presently to national average of 135 by the end of XII Plan
  - 20 new govt ITIs being set up every year
  - Existing ITIs being augmented by additional units
  - Trade rationalisation to align skills with market needs
  - Target to fill up existing vacancies of instructors during the current year
- **Engaging industries through Flexible MoUs for skill development**

# Medical and Public Health

## Achievements (since 2006)

- IMR 57 (72), MMR 359(517), TFR 3.7(4.4), Institutional delivery 62% (20%), complete immunization 41%(30%)

## Strategies

- EMTS service started. Another service 102 dedicated to Maternal and Child Health to be started
- **Minority populated districts also focussed upon. 9 out of 21 taken up for 100 maternity wing with Sick Newborn Care Unit (SNCU)**
- 50 hundred bed maternity wings in districts, 15 trauma centres under construction
- **Up-gradation of 138 block PHC to CHC, 309 new PHC to be established to provide 8040 beds for Maternal and Child Health Care**
- To cover 1.66 cr children per year in Rashtriya Bal Swasthya Karyakram
- **Extension of services in Rashtriya Swasthya Bima Yojana**
- Multipronged strategy to bridge manpower gap through incentives & change in recruitment processes

## Urban Development - Initiatives

- The betterment of municipal services like drinking water supply, sewerage & sanitation, drainage, solid waste, street lighting, through various state sector schemes
- **Social security schemes for urban poor launched like Asra Yojna, Alpsankhyak Bahulya Malin Basti Yojna and Riksha Yojna**
- Improving sanitation through solid waste management and modernization of slaughter houses through PPP
- **Conservation and regeneration of water bodies**

## Challenges and Goals

- Sustainable urban development through O&M of assets by enhancing financial resources and revenue of local bodies by GIS survey
- **Meeting cost escalation of ongoing JnNURM projects**
- Increasing the coverage of centrally sponsored schemes to ensure required minimum level of municipal services



## Social Welfare - Initiatives

- Fee reimbursement for eligible post-matric students is directly transferred into the bank account of the students from Sept. 2012

### Issues

- In the year 2012-13, total demand for Post-Matric SC Scholarship & Fee Reimbursement uploaded on the NIC Website is Rs. 1399.23 cr. A sum of Rs. 405.27 cr still awaited
- **Gol may consider to provide 50% of the total financial requirement under the Post-matric scholarship to the students of the General Category**
- Gol may consider to release recurring grant under Babu Jagjivan Ram hostel scheme

## ICDS

- **1.66 lakh Anganwadi centres (AWCs) and 22,186 mini Anganwadi centres operational**
- **Total coverage of children against six preventable diseases**
- **To address mal-nutrition in coordination with Health Department so that no infant death occurs**
- **Iron and Folic Acid (IFA) supplementation in coordination with Health Department to address anaemia**
- **Children (3-6 years) benefitted with hot cooked meal with the help of Mother Committees**
- **To convert AWC as centre for Early Childhood Development**

## Minority Welfare - Initiatives

- **Hamari Beti Uska Kal Yojana'** for providing one time grant of Rs. 30,000 for higher education of high school or equivalent passed girl students of minority communities having parental income below Rs 36,000 per annum
- **A scheme for security of public grave-yards and cremation sites of minority community was launched**
- **During the Year 2013-14 , Government has launched a scheme for establishing 'Educational Hub' to setup Model Intermediate Colleges separately for boys and girls in minority concentrated districts along with the facilities of coaching and counseling**
- **All Pre/Post Matric Scholarship & Fee reimbursement for eligible students directly transferred into the bank account**



**Thank You**