

No.N-11016/14(1)/2010-PC
Government of India
Planning Commission
(Plan Coordination & Management Division)

Yojana Bhavan, Sansad Marg
New Delhi – 110001
Dated, February 11, 2014

OFFICE MEMORANDUM

Subject: Scheduled Caste Sub Plan (SCSP) and Tribal Sub Plan (TSP).

The undersigned is directed to invite attention to the previous advisories on the subject and clarify the issues as follows:-

1. The strategy of Scheduled Caste Sub Plan (SCSP) and Tribal Sub Plan (TSP) is in force since 1979-80 to ensure proportionate flow of Plan resources for the development of Scheduled Castes (SC) and Scheduled Tribes (ST).
2. Guidelines on formulation, implementation and monitoring of SCSP/TSP had been issued by the Planning Commission from time to time. Consolidated guidelines in this regard were last issued to the Central Ministries/Departments in December, 2006, which, inter-alia, required concerned Ministries/Departments to earmark funds under SCSP from the Plan outlays, at least in proportion to the percentage of SC/ST population in the State/Country.
3. Recently, vide D.O.No.N-11016/18(1)/2013-OC dated 05.12.2013, Secretary, Planning Commission has sought proposals for Annual Plan 2014-15, enclosing inter-alia, the requirement for Ministry/scheme-wise earmarking of Plan outlays under TSP and SCSP.
4. In the context of the 12th Plan, the SCSP and TSP guidelines have been intensively reviewed by an Inter-Ministerial Committee comprising Secretary (Ministry of Social Justice and Empowerment), Secretary (Ministry of Tribal Affairs) and chaired by Secretary, Planning Commission, examine ways to effectively implement SCSP/TSP as an essential instrument for accomplishing inclusive growth. It was noted therein that the implementation of the guidelines last issued to Central Ministries/Departments in December, 2006 had not been satisfactory. In fact, until 2011-12, Central Ministries were not showing SCSP/TSP outlays under a specified Head.
5. Following recommendations made by the Task Force constituted under the chairmanship of Member, Planning Commission (Dr.Narendra Jadhav), it was decided to impose a differentiated obligation on Ministries to earmark plan outlays under SCSP/TSP, depending on their functions, instead of a uniform 16.2% obligation, as follows :
 - i) No obligation for earmarking funds under SCSP (43 Ministries and Departments;

- i.a) no obligation for earmarking funds under TSP (40 Ministries and Departments).
- ii) required to do partial earmarking (less than 15% with regard to SCSP (10 Ministries and Departments)
- ii.a) required to do partial earmarking (less than 7.5% with regard to TSP (13 Ministries and Departments)
- iii) required to earmark between 15-16.2% under SCSP (9 Ministries and Departments)
- iii.a) required to earmark between 7.5% - 8.2% under TSP (10 Ministries and Departments)
- iv) required to earmark more than 16.2% under SCS) (6 Ministries and Departments)
- iv.a) required to earmark more than 8.2% under TS) (5 Ministries and Departments)

6. Accordingly, vide D.O.No.N-11016/12(1)/2009-PC dated 15th December, 2010 Member Secretary, Planning Commission conveyed that the recommendations of the Task Force on differentiated Ministry/Department-wise earmarking of Plan funds under SCSP/TSP had been accepted and had indicated the details in the Annexure.

7. Now, it has been decided that all Ministries/Departments identified for earmarking outlays for SCSP and TSP may estimate gaps, prioritise development needs ;of the SCs/STs and orient the schemes to bridge the gaps. The schemes designed under SCSP and TSP ;should yield ;direct and quantifiable benefits to SC/ST individuals, SC/ST households and/or SC/ST habitations. In the outlays to be earmarked for SCSP/TSP, the percentages indicated should be considered only the minimum and as a floor. For earmarking outlays for SCSP/TSP, Central Ministries/Departments may propose allocations beyond the minimum/floor percentages required. The funds so earmarked shall be non-divertible.

8. Since the budget is only an estimate, the estimated expenditure on SC/STs should be mentioned in as much detail as possible for each relevant scheme/programme, under SCSP (Minor Head 789) and TSP (Minor Head 796) below the functional Major Head/Sub Major Head wherever necessary.

9. As regards the restructured Centrally Sponsored Schemes (CSS) to be classified as Central Assistance to State & UT Plans from 2014-15, Ministries may issue guidelines to State/UT Governments.

10. Institutional mechanism for monitoring may be strengthened. A unit with full time support headed by a senior officer may be vested with full responsibility for SCSP/TSP earmarking, implementation and monitoring the expenditure out of the allotted funds. Monthly and quarterly expenditure against the allocations made for 2014-15 in respect of SCSP/TSP may be sent to Planning Commission.

11. This issues with the approval of Secretary, Planning Commission.

(Rakesh Ranjan)

Adviser (PCMD)

To

The Secretaries (All Central Ministries/Departments)
(As per list enclosed)