

**United Nations Development Programme – INDIA**  
**CPAP 2011-2017**  
**Annual Work Plan 2012**



**Project Title:** Human development – towards bridging inequality

**Award ID:** 00049815

**Project ID:** 00079936

**Project Start and end date:** September - December 2017

**Corresponding CP Outcome(s):** Capacities of elected representatives and State and district officials in the UNDAF focus States/districts enhanced to perform their roles effectively in local governance

**Corresponding CP Output(s):** Institutions and mechanisms strengthened to enhance capacities of elected representatives and functionaries for human development oriented, inclusive planning, implementation, and improved accountability in local governance.

**Project RESULTS** description as outlined in approved project brief:

Project outputs	Activities	Indicator	Baseline
National and State policies influenced to improve human development outcomes through research and advocacy.	<ul style="list-style-type: none"> <li>▪ State/regional/district level Human Development Reports focusing on social and spatial inequalities prepared in select States.</li> </ul>	Number of State/regional/district HDRs prepared by 2017 (target to be decided in consultation with stakeholders)	21 States' HDRs, 80 district HDRs
	<ul style="list-style-type: none"> <li>▪ Research based policy advocacy to influence national and State planning through analytical research studies identifying constraints in achieving inclusive growth focusing on social and geographical disparities.</li> </ul>	By 2017, at least ten research studies completed	
	<ul style="list-style-type: none"> <li>▪ Strengthening programme implementation through four to six high quality research studies focusing on select flagship schemes ascertaining bottlenecks in implementation and recommending improvements in service delivery mechanisms</li> </ul>	By 2017, analysis of implementation bottlenecks of at least 7 flagship schemes completed	
	<ul style="list-style-type: none"> <li>▪ Capacity development of                             <ul style="list-style-type: none"> <li>○ State officials and elected representatives for undertaking human development oriented</li> </ul> </li> </ul>	By 2017, at least 10 Universities/institutions integrate course on human development in their curriculum.	

	<ul style="list-style-type: none"> <li>analysis</li> <li>o Researchers through introduction of a curriculum on human development</li> <li>o Civil society</li> <li>o Private sector</li> <li>o Media</li> </ul>		
	<ul style="list-style-type: none"> <li>▪ Institutionalising community monitoring tools</li> </ul>	By 2017, community monitoring tools used by governments	
	<ul style="list-style-type: none"> <li>▪ Analysis of fiscal policy including budget analysis, including from a gender lens, of partner State governments to achieve HD outcomes</li> </ul>	By 2017, annual HD analysis of budget institutionalised for select states	
	<ul style="list-style-type: none"> <li>▪ Strengthening statistical systems for better monitoring of human development outcomes</li> </ul>	By 2017, a system for generating annual HD data institutionalised and data made available	
	<ul style="list-style-type: none"> <li>▪ Human development advocacy</li> </ul>	HD awards institutionalised	
	<ul style="list-style-type: none"> <li>▪ Facilitating knowledge sharing, also within the framework of south-south collaboration</li> </ul>		

**Project and AWP 2012 Budget**

UNDP funds	Government funds	Donor funds	Total
5,500,000	NA	NA	5,500,000 (For entire project duration)
			USD 785,000 (For 2012, subject to availability)

**Projects Budget and Expenditure Status**

Total Budget	Expenditure 2011	Budget 2012	Budget 2013
5,500,000		785,000 (subject to availability)	

\* Exchange rate @ 49.00

Agreed by Planning Commission (Implementing Partner):

जॉय बरुवा / Joy Barua / Joint Secretary (DP)  
 जॉय बरुवा / Joy Barua / Joint Secretary (DP)  
 जॉय बरुवा / Joy Barua / Joint Secretary (DP)  
 जॉय बरुवा / Joy Barua / Joint Secretary (DP)

Agreed by UNDP:

Caitlin Wiesen  
 UNDP Country Director

<b>Project Targets for 2012</b> <i>Remember to ensure that targets are 'SMART'</i>	<b>PLANNED ACTIVITIES</b> <i>Remember to add M&amp;E activities and procurement activities, as applicable</i>	<b>Indicative month of completion</b>	<b>Responsible Party (where possible)</b>	<b>Budget for 2012 (INR)</b>	<b>Budget for 2012 (USD)</b>
<p>1. State/regional/district level Human Development Reports focusing on social and spatial inequalities prepared in select States.</p> <p>Targets:</p> <ul style="list-style-type: none"> <li>• Support to State HDRs (Assam, Bihar, Karnataka, Maharashtra and Orissa)</li> <li>• Support to Bundelkhand HDR</li> <li>• Initiation of district level HDRs</li> </ul>	<p>1.1 State HDRs</p> <ul style="list-style-type: none"> <li>• Stakeholder consultation to identify relevant themes</li> <li>• Selection of organizations/technical experts for drafting DHDRs</li> </ul> <p>1.2 Regional HDRs</p> <ul style="list-style-type: none"> <li>• Identifying regions for initiating regional HDRs</li> <li>• Selection of resource agency</li> </ul> <p>1.3 District HDRs</p> <ul style="list-style-type: none"> <li>• Identification of districts for which preparation of DHDR will be supported under the project</li> </ul> <p>1.4 Thematic HDRs</p> <p>NA</p>	<p>To be completed in 2013</p>	<p>State governments</p>	<p>State HDR –30 lakhs</p> <p>Regional HDR - 20 lakhs</p> <p>District HDR – 45 lakhs</p>	<p>193,877.6</p>
<p>2. Research based policy advocacy to influence national and State planning through analytical research studies identifying constraints in achieving inclusive growth focusing on social and geographical disparities.</p> <p>Target – 3 research papers commissioned</p>	<p>2.1 Initiating HD Research Paper Series</p>	<p>Apr-Oct</p>		<p>Rs. 15 lakhs</p>	<p>30,612.24</p>

<p>3. Strengthening programme implementation through four to six high quality research studies focusing on select flagship schemes ascertaining bottlenecks in implementation and recommending improvements in service delivery mechanisms</p> <p>Target – Piloting implementation of recommendation of studies on bottleneck analysis</p>	<p>3.1 Initiating implementation of recommendation</p>	<p>Apr-Oct</p>		<p>Rs. 10 lakhs</p>	<p>20,408.16</p>
<p>4. Capacity development of</p> <p>a. State officials and elected representatives for undertaking human development oriented analysis</p> <p>b. Researchers through introduction of a curriculum on human development</p> <p>c. Civil society</p> <p>d. Private sector</p> <p>e. Media</p> <p>Target –</p> <ul style="list-style-type: none"> <li>• HD curriculum drafted for two Universities/institutions</li> <li>• Capacity development programmes in 2 ATIs supported</li> </ul>	<p>4.1 Supporting development of HD curriculum for Universities/ institutions</p>	<p>Apr-Dec</p>	<ul style="list-style-type: none"> <li>• State governments</li> <li>• Universities</li> <li>• Autonomous institutions such as TISS</li> <li>• Administrative Training Institutions</li> </ul>	<p>Rs. 35 lakh</p>	<p>71,428.56</p>
<p>5. Institutionalising community monitoring tools</p> <p>Target – Initiating collaboration with state governments on community monitoring tools</p>	<p>4.2 Collaborating with 2 ATIs on training programme for human development</p>	<p>October</p>		<p>Rs. 10 lakhs</p>	<p>20,408.16</p>
<p>6. Analysis of fiscal policy including budget analysis, including from a gender lens, of partner State governments to achieve HD outcomes</p> <p>Target – Budget Analysis with a focus on inclusion initiated</p>	<p>5.1 Presentation of tools to state governments and initial dialogues</p>	<p>October</p>		<p>Rs. 20 lakhs</p>	<p>40,816.33</p>
<p>7. Strengthening statistical systems for better monitoring of human development outcomes</p> <p>Targets –</p>	<p>6.1 Budget analysis for inclusion</p>	<p>December 2012</p>	<p>MOSPI</p>	<p>Rs. 50 lakhs</p>	<p>102,040.8</p>



<ul style="list-style-type: none"> <li>Gaps in statistical systems for monitoring HD indicators at the national and state level identified and an action plan prepared</li> <li>OECD Forum on measuring progress of societies supported through a background paper and a panel discussion</li> <li>SIGI pilot in India supported</li> </ul>	7.2 Support to OECD Forum on measuring progress of societies				
	7.3 Support to SIGI				
	7.4 Supporting training on statistical systems				
8. Human development advocacy  Targets – <ul style="list-style-type: none"> <li>At least two national stakeholders consultation</li> <li>DHDR Awards constituted and presented</li> <li>Two state level consultations on human development supported</li> </ul>	8.1 National stakeholder consultation		DHDR Awards - UNDP	Rs 67.5 lakhs	137,755.1
	8.2 DHDR Awards				
	8.3 State level consultations				
9. Facilitating knowledge sharing, also within the framework of south-south collaboration  Target –At least one international collaboration on human development supported	9.1 Knowledge sharing with other countries			Rs 10 lakh	20,408.16
10. Project Management and Monitoring	10.1 Developing web based monitoring tool			Rs. 7 lakhs	14,285.71
	10.1 – Project staff remuneration <sup>1</sup>			Rs. 23.35 lakhs	47,653.06
	10.2 - Travel			Rs. 10 lakh	20,408.16
	10.3 - Equipment			Rs. 2.5 lakhs	5102.04
	10.4 - Miscellaneous			Rs. 2 lakh	4082.63
<b>Total (All components)</b>				<b>3,57,35,000</b>	<b>729,285.7</b>
				<b>ISS</b>	<b>37,413</b>
				<b>Communication</b>	<b>7800</b>
				<b>Audit</b>	<b>5500</b>
				<b>UNDP state office</b>	<b>5000</b>
				<b>GRAND TOTAL</b>	<b>784,999.7 or 785,000</b>

<sup>1</sup> Composition of the Project cell at the Planning Commission – 1 Project Manager, 1 Project Assistant, 1 office assistant. 1 Research Analyst and 1 Project Assistant will be situated in UNDP to support project activities. The Research Analyst will also provide research support to the Planning Commission on project related work.

### **Fund Flow Arrangement –**

The Implementing Partner will account for funds received from UNDP as per the respective signed AWP.

The UNDP country office may provide, at the request of the Implementing Partner or designated authority, specific support activities to the project, towards which UNDP will charge implementation support services (ISS) as per UNDP rules and regulations. The Implementing Partner(s) will enter into an agreement with UNDP for the provision of implementation support services (ISS) by UNDP in the form of procurement of goods and services. Cost recovery for ISS will be charged as per UNDP rules and regulations and the details will be outlined in the budgeted AWP for each year.

For activities at the state level one of the following two modalities will be followed –

1. State governments will undertake activities as per approved annual work plan including procurement of goods and services and financial disbursements. UNDP will reimburse the amount spent on a quarterly basis on the basis of a Fund Authorisation and Certification of Expenditure (FACE) duly signed by the National Project Director or a delegated government authority at the national or state level.
2. Direct payment to institutions/experts/vendors for obligations incurred on the basis of requests signed by the Implementing Partner or a delegated government authority.